



**DEPARTMENT OF THE TREASURY  
BUREAU OF ALCOHOL, TOBACCO AND FIREARMS  
WASHINGTON, DC 20226**

NOV 13 1998

**OPEN LETTER TO ALL GUAM FEDERAL FIREARMS LICENSEES**

**Permanent Provisions of the Brady Law.** The purpose of this letter is to advise you of your responsibilities under the permanent provisions of the Brady law, 18 U.S.C. § 922(t). On November 30, 1998, the interim provisions of the Brady law will cease to apply, and the permanent provisions of the Brady law will take effect.

The permanent provisions of the Brady law provide for the establishment of a National Instant Criminal Background Check System (NICS) that licensees must contact before transferring any firearm to unlicensed individuals. While the interim provisions apply only to handguns, the permanent provisions of Brady will apply to all firearms. In addition, under permanent Brady there will no longer be an exemption for the redemption of a firearm from pawn.

**Notification from the Attorney General.** On October 30, 1998, the Department of Justice published a final rule in the Federal Register, announcing the establishment of the NICS as of October 31, 1998. Accordingly, licensees will be required to comply with permanent Brady as of November 30, 1998. Copies of the Justice Department's final rule are available on DOJ's Home Page on the Internet at <http://www.fbi.gov/programs/nics/index.htm>, and DOJ is mailing copies of the final rule to licensees.

**Initiation of NICS Checks.** To request a background check for any firearm transaction you must contact the Federal Bureau of Investigation's NICS Operations Center by dialing 1-877-FBI-NICS (1-877-324-6427). Your call will be answered by an automated menu that allows you to select from several customer services including initiating a NICS background check.

**Transfers Subject to NICS Check Requirement.** As of November 30, 1998, you will be required to initiate a NICS check prior to transferring a firearm to anyone who is not a licensee. The following steps must be followed prior to transferring a firearm:

1. Have the transferee complete and sign ATF Form 4473, Firearms Transaction Record.
2. Verify the identity of the transferee through a Government-issued photo identification (for example, a driver's license).
3. Contact NICS. You will get either a "proceed," "denied" or "delayed" response from the system. If you get a "delayed" response and there is no additional response from the system, you may transfer the firearm after three business days have elapsed. Of course, you must still comply with any waiting period requirement under local law.
4. If you have initiated a NICS check for a proposed firearms transaction, but the transfer of the firearm is not completed, you must retain the Form 4473 in your records for a period of not less than 5 years. If the transfer is completed, the Form 4473 must be retained for at least 20 years.

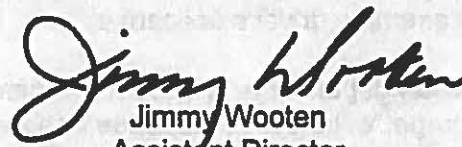
**Alternatives to a NICS Check.** The Brady law provides that certain permits may qualify as alternatives to a NICS check. Although the Firearms Identification Card issued in Guam qualified as an alternative to a background check under the interim provisions of Brady, this permit will **not** qualify as an alternative to the NICS check required by permanent Brady. However, Firearms Identification Cards issued prior to November 30, 1998, will be "grandfathered" as Brady alternatives for the duration of the permit, not to exceed 5 years from the date of issuance. Of course all such transactions must still comply with local law. Please note that Firearms Identification Cards issued on or after November 30, 1998, will **not** qualify as alternatives to the NICS check.

If you transfer a firearm to an unlicensed person pursuant to the permit alternative, you must comply with the following requirements:

1. Have the transferee complete and sign ATF Form 4473, Firearms Transaction Record.
2. Verify the identity of the transferee through a Government-issued photo identification (for example, a driver's license).
3. Verify that the permit was issued prior to November 30, 1998, and within the past 5 years by Guam, and that the permit has not expired under local law.
4. Either retain a copy of the transferee's permit and attach it to the Form 4473, or record on the Form 4473 any identifying number from the permit, the date of issuance, and the expiration date of the permit.

**Final Regulations and Forms 4473.** On October 29, 1998, ATF published final regulations implementing the permanent provisions of the Brady law. The ATF final regulations are available on ATF's Home Page on the Internet at [http://www.atf.treas.gov/core/regulations/brady\\_regs.htm](http://www.atf.treas.gov/core/regulations/brady_regs.htm). ATF has also modified ATF Form 4473, Firearms Transaction Record, to reflect the changes in the background check system. A copy of these regulations and a small supply of Forms 4473 will be mailed to each licensee under separate cover before November 30, 1998.

**Questions.** If you have any questions, please contact your local ATF office. Questions regarding NICS operational issues should be directed to the FBI at (304) 625-2750.

  
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Assistant Director  
(Firearms, Explosives and Arson)