

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

OMB No. 1140-0043

National Tracing Center Trace Request

Phone: 1-800-788-7133 Martinsburg, WV 25405 Fax: 1-800-578-7223

FOR NTC DATA ENTRY ONLY **Page 1 of 2 - Trace Request Information**

NOTE: * - Required Entry Field (Must be completed for trace processing)
** - Required Entry With Listed Data Response (See back for codes and options)

Part I - Trace Initiation Information

1a. Date of Request _____ 1b. Priority** Routine Urgent (Justification required) For NTC Data Entry Only

Justification _____

1c. Special Instructions _____

Part II - Crime Code Information

2a. NCIC Crime Code** _____ 2b. Project Code, Project Title, or other Initiative (If you wish to obtain a Project Code, contact the Chief, Firearms Tracing Branch, ATF National Tracing Center)**: _____

Part III - ATF Agent Requesting Trace

3a. Organization Code* _____ 3b. Phone Number: _____ 3c. ATF Special Agent's Name (Last, first, middle) _____
 Fax Number: _____
 E-Mail: _____

3d. Badge Number _____ 3e. ATF Case Number _____ 3f. Field Office _____

Part IV - Other Agency Requesting Trace

4a. Other Agency Case Number* _____ 4b. Phone Number: _____ 4c. Other Agency Officer's Name (Last, first, middle) _____
 Fax Number: _____
 E-Mail: _____

4d. Badge Number _____ 4e. Other Agency Case Number _____ 4f. Department/Unit _____

4g. Mailing Address _____

Part V - Firearms Information

5a. Serial Number* (From Frame or Receiver) _____ 5b. Obliterated (If yes, complete Part LX) Yes No 5c. Firearms Manufacturer* _____

5d. Type* _____ 5e. Caliber* _____ 5f. Model* _____ 5g. Country of Origin* (Importer required if other than U.S.) _____

5h. Importer* _____ 5i. Additional Markings _____

Part VI - Possessor Information

6a. Name (Last, First, Middle, Suffix) _____

6b. Alias (If given) (Last, First, Middle, Suffix) _____ 6c. Alias Date of Birth _____

6d. Height _____ 6e. Weight _____ 6f. Sex _____ 6g. Race (Check one only) Asian
 American Indian or Alaskan Native Hispanic
 Black or African American White
 Native Hawaiian or Other Pacific Islander
 Other (Specify) _____

6i. Apt. Number _____ 6j. Street No. _____ 6k. Direction _____ 6l. Street Name _____ 6m. City _____

6n. County _____ 6o. State _____ 6p. Zip Code (Nine Digit Number) _____ 6q. Country _____

6r. Date of Birth _____ 6s. Place of Birth _____ 6t. Possessor's ID Number _____ 6u. ID Type/State _____

ATF E-Form 3312.1
Revised January 2007



Caribbean

**Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives**
Office of Strategic Intelligence and Information
Data Source: Firearms Tracing System

Calendar Year 2016
(As of March 10, 2017)



ATF Firearms Trace Data Disclaimer



- (1) Firearm traces are designed to assist law enforcement authorities in conducting investigations by tracking the sale and possession of specific firearms. Law enforcement agencies may request firearms traces for any reason, and those reasons are not necessarily reported to the Federal Government. Not all firearms used in crime are traced and not all firearms traced are used in crime.**
- (2) Firearms selected for tracing are not chosen for purposes of determining which types, makes or models of firearms are used for illicit purposes. The firearms selected do not constitute a random sample and should not be considered representative of the larger universe of all firearms used by criminals, or any subset of that universe. Firearms are normally traced to the first retail seller, and sources reported for firearms traced do not necessarily represent the sources or methods by which firearms in general are acquired for use in crime.**





Introduction



The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) National Tracing Center (NTC) is the nation's only crime gun tracing facility. As such, the NTC provides critical information that helps domestic and international law enforcement agencies solve firearms crimes, detect firearms trafficking and identify trends with respect to intrastate, interstate and international movement of crime guns. For calendar year (CY) 2011, the NTC traced more than 319,000 firearms; for CY 2012, more than 332,000 firearms; for CY 2013, more than 336,000 firearms; for CY 2014, more than 351,000 firearms; for CY 2015, more than 364,000 firearms; and for CY 2016, more than 364,000 firearms. Over this time period, the NTC has traced firearms for the United States and 129 other countries.

Firearms tracing is the systematic tracking of the movement of a firearm from its first sale by a manufacturer or importer through the distribution chain in an attempt to identify the first retail purchaser, in order to provide investigative leads for criminal investigations. After the firearm is recovered and the identifiers are forwarded to the NTC, ATF contacts the manufacturer or importer to ascertain the sale or transfer of the firearm. ATF will attempt to contact all ensuing Federal Firearms Licensees (wholesale/retail) in the distribution chain until a purchaser is identified or the trace process cannot continue due to a lack of accurate or complete information on the trace request or in the Federal Firearms Licensee's records. The success of a trace result, whether domestic or international, relies upon the accuracy of the supplied firearm identifiers. The necessary identifiers for a trace include manufacturer, importer (if applicable), model, caliber and serial number.

This report relates only to firearms recovered in the top five reporting Caribbean nations and traced by ATF. The firearm trace results are based upon the supplied firearm identifiers on the Caribbean trace requests. The Caribbean trace data in this report is organized by the calendar year in which the firearm was recovered, not the year in which the trace was initiated. For example, if a firearm was recovered in 2016 but the trace was not initiated until 2017, the data will be organized in the year of recovery, 2016. Organization by recovery date provides valuable investigative leads as well as more specific trend data. Therefore, using this example, if a firearm for which a trace is initiated in later years is determined to have been recovered in 2016, the raw trace numbers for 2016 will increase in subsequent trace data reports. As a result, the trace numbers for each calendar year may fluctuate, necessitating changes to prior years' trace numbers in future reports. If a recovery date is not provided for a firearm, trace data for that firearm is organized by the calendar year in which the trace was entered into the Firearms Tracing System by ATF.



Analytical Criteria Used to Compile the Caribbean Trace Statistics

All of the attached trace statistics had the following selection criteria in common:

- Traces with a recovery country in The Bahamas, Dominican Republic, Haiti, Jamaica and Trinidad & Tobago were included.
- Traces with a recovery date between January 1, 2016, and December 31, 2016 were included.
 - If a recovery date was not provided, traces with an entered date between January 1, 2016, and December 31, 2016 were included.
 - In CY 2016, 99.7% of all Caribbean traces listed a recovery date.
- Duplicate traces were not included. A duplicate is a trace request for the same recovery of a firearm that was previously traced.
- All traces may not have been submitted or completed at the time of this analysis.
- Statistics are based on a query of the Firearms Tracing System on March 10, 2017.

Firearms Recovered in the Caribbean and Submitted to ATF for Tracing Calendar Year 2016

Country Name	Bahamas			Dominican Republic			Haiti			Jamaica			Trinidad and Tobago		
	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category	# of Traces	% of all Traces	% by Category
Category - U.S. Sourced Firearms															
Manufactured in the United States	104	45.0	98.3	445	34.6	45.0	31	15.0	98.5	238	46.0	70.3	36	36.0	59.0
Imported into the United States	123	53.3		133	10.4		172	83.5		126	24.3		23	23.0	
Subtotal	227			578			203			364			59		
Category - Undetermined Source Country for Firearms															
Non-U.S. Manufacturer	4	1.7	1.7	608	47.3	55.0	2	1.0	1.5	152	29.3	29.7	39	39.0	41.0
Undetermined Country of Origin	0	0.0		99	7.7		1	0.5		2	0.4		2	2.0	
Subtotal	4			707			3			154			41		
Total	231	100	100	1,285	100	100	206	100	100	518	100	100	100	100	100

This chart is based upon firearms recovered in the Caribbean in a particular year and submitted to ATF for tracing. This chart relates only to firearms recovered in the top five reporting Caribbean nations recovered and traced by ATF.

The category "U.S. Sourced Firearms" refers to those firearms that were determined by ATF to be manufactured in the U.S. or legally imported into the U.S. by a Federal firearms licensee.

"Manufactured in the United States" refers to those firearms that were determined by ATF to be manufactured in the U.S. by a Federal firearms licensee (manufacturer).

"Imported into the United States" refers to those firearms that were determined by ATF to be legally imported into the U.S. by a Federal firearms licensee (importer). Foreign manufactured firearms that are legally imported into the U.S. require that the importer name be stamped (impressed) on the firearm (27 C.F.R. Section 478.92). The firearm is traced by ATF through the U.S.-based importer, not the foreign manufacturer.

The category "Undetermined Source Country for Firearms" refers to those firearms in which the trace request did not provide sufficient information in order for ATF to determine a source country.

"Non-U.S. Manufacturer" refers to those firearms in which the trace request indicated a non-U.S. (foreign) manufacturer and a U.S. firearms importer was either not listed (possible omission of the trace requestor) or not required (a foreign manufactured firearm that never legally entered U.S. commerce and therefore would not bear the identifying stamp of a U.S. firearms importer). ATF is unable to determine if these non-U.S. (foreign) manufactured firearms were imported directly into the Caribbean, or if the firearms were legally imported into the U.S. or went to another country and then made their way to the Caribbean by legal or illegal means.

"Undetermined Country of Origin" refers to those firearms in which the trace request was unclear as to the manufacturer, country of origin and the importer. ATF is unable to determine the firearm's manufacturer, or if the firearms were imported directly into the Caribbean, or if the firearms were legally imported into the U.S. or went to another country and then made their way to the Caribbean by legal or illegal means.

"Total" refers to all firearms recovered in the Caribbean in a particular calendar year and submitted to ATF for tracing.

Please note, changes to the trace data will occur in the future as a result of the statistics being based upon the recovery date of the firearm.

**U.S. Sourced Firearms Recovered in the Caribbean and Submitted to ATF for Tracing
Calendar Year 2016**

Country Name	Bahamas		Dominican Republic		Haiti		Jamaica		Trinidad and Tobago	
	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces	# of Traces	% of U.S. Sourced Traces
Category - U.S. Sourced Firearms										
Traced to a Retail Purchaser	190	83.7	158	27.3	24	11.8	139	38.2	21	35.6
Traced to a Foreign Country	4	1.8	162	28.0	0	0.0	68	18.7	9	15.3
Unable to Determine a Purchaser	33	14.5	258	44.7	179	88.2	157	43.1	29	49.1
Total	227	100	578	100	203	100	364	100	59	100

This chart is based upon the **U.S. sourced firearms** from the page entitled "Firearms Recovered in the Caribbean and Submitted to ATF for Tracing". The total does not include trace numbers from the category "Undetermined Source Country for Firearms".

The category "U.S. Sourced Firearms" refers to those firearms that were determined by ATF to be manufactured in the U.S. or legally imported into the U.S. by a Federal firearms licensee.

"Traced to a Retail Purchaser" refers to those traces in which ATF could determine the first retail purchaser, that is, a sale executed on an ATF Firearms Transaction Record (ATF Form 4473) from a U.S. Federal firearms licensee to a purchaser in the U.S. (As a comparison, for firearms recovered in the U.S. and traced to a retail purchaser, the average percentage for firearms traced for the time period CY 2016 is approximately 73%.)

"Traced to a Foreign Country" refers to those firearms that were determined by ATF to be transferred from a U.S. Federal firearms licensee to a foreign government, law enforcement, dealer or entity.

"Unable to Determine a Purchaser" refers to those firearms that were manufactured in / imported into the U.S. but ATF was unable to determine the first retail purchaser through the trace process.

- Common reasons ATF is unable to identify a purchaser:

- Incomplete firearms identifying data on the trace request form
- Incomplete or never received out-of-business Federal firearms licensee records (upon discontinuance of business by a Federal firearms licensee, the records shall be delivered within 30 days to ATF or other Attorney General stipulated location (Title 18 U.S.C. 923(g)(4))
- Altered or obliterated firearm serial numbers
- The firearm is considered to be too old to trace
- Current Federal firearms licensee records are incomplete or missing, or the Federal firearms licensee was unresponsive to ATF's request for trace information

Please note, changes to the trace data will occur in the future as a result of the statistics being based upon the recovery date of the firearm.

**Types of Firearms Recovered in the Caribbean and Submitted to ATF for Tracing
Calendar Year 2016**

Country Name	Bahamas		Dominican Republic		Haiti		Jamaica		Trinidad and Tobago	
	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces	# of Traces	% of all Traces
Category - Types of Firearms										
Pistols	192	83.1	851	66.2	40	19.4	384	74.1	70	70.0
Revolvers	25	10.9	196	15.2	1	0.5	100	19.3	19	19.0
Rifles	6	2.6	15	1.2	5	2.4	20	3.9	7	7.0
Shotguns	7	3.0	213	16.6	160	77.7	12	2.3	4	4.0
Other	1	0.4	10	0.8	0	0.0	2	0.4	0	0.0
Total	231	100	1,285	100	206	100	518	100	100	100

This chart is based upon firearms recovered in the Caribbean in a particular year and submitted to ATF for tracing.

The "Other" category refers to machineguns, destructive devices, derringers, tear gas launchers, combination guns, flare guns, receivers/frames, silencers, any other weapons and unknown types (to include trace requests that do not annotate a firearm type).

Please note, changes to the trace data will occur in the future as a result of the statistics being based upon the recovery date of the firearm.