## U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

# **Application for Explosives License or Permit**

				, ATF Form— 5400.13A/5400.16 Pa ed or denied if Part B is incomplete		FOR ATF USE ONLY	
Please print or type all information.							
1. Name of Applic	ant (List indi	vidual, corporation, LLC, or	name of each pa	rtner)			
2. Trade Name or Business Name (if any)				3. Employer Identification Number (EIN) or Social Security Number (SSN)(Voluntary)(See Privacy Act Information)			
	Name of County in Which Business is Located  5. Premises Address (Number, street, city, state, ZIP code)						
6. Mailing Address	s (If different	from premises address)					
_							
7. Contact Inform	ation (10-digi	it phone number)(Please use	check box to indi	cate your 24-hour contact number)			
Business				Mobile Mobile			
Fax				Other			
8. E-Mail Address	3						
	.1 1.1	1	C 1:1 PD	/D: 1 1 10 H G G G	. 40 E 1		
9. Are you present (If yes, provide		s hegan)	s for which a FEI /Date:	/P is required under 18 U.S.C., Chap	oter 40, Explo	sives?	
10. Is or will your	business and	/or operations be: (Check ap	propriate box)				
Sole Propr	ietor	Partnership Corporat	ion Limite	ed Liability Company Other	(Specify)		
11. Method of pa	•						
Money Order (Enclosed) Express						ver Diners Club ation Date (Month & year)	
Credit/Debit Card	Number (100	ausnes)	Name as	Timed on the Cledit/Debit Card	Expira	tiloli Date (Month & year)	
	Address						
Credit/Debit Card							
Billing Address	City		State	ZIP	Code		
Please complete t	to ensure pay	ment is credited to the corre	ect application				
I am paying the application fee for the following person, corporation, or partnership					Total Application Fees		
I authorize ATF to	o charge my	credit/debit card the total ap	pplication fees				
	Sia	nature of Cardholder		<del>_</del> .	T		
	Sig	matare of Caramonaci			L	Oate	

Your credit/debit card will be charged the above stated amount upon receipt of the application. The charge will be reflected on your credit/debit card statement. In the event your FEL/P application is NOT approved, the above amount will be credited to the credit/debit card noted above.

12. Th	is application is made	for an FEL/P under 1	8 U.S.C., Chapt	er 40, as a	n: <i>(See definiti</i>	ions 5–9)				
	Explosive	s License	Fee	Renewal Fee		Explosive	es Permit		Fee	Renewal Fee
manufa	acturer: (Check the typ acture) High Explosives Theatrical Flash Powdo Blasting Agents	Low Explos	sives \$200	) \$100	to use)  High E	osives: (Check th xplosives g Agents xplosives	Fir	xplosives yo eworks ner (Specify)	\$100	\$50
H	er: (Check the types of Ligh Explosives Blasting Agents Low Explosives	Fireworks Other (Spec	\$200	) \$100	explosives year High Example Blasting	mit: (Intrastate Or ou plan to use) xplosives g Agents xplosives	Fir	the types of eworks ner (Specify)	***	\$12
☐ H	(Check the types of e.  Ligh Explosives  Blasting Agents  Black Powder	Low Explosives you plan to  Low Explosion  Fireworks  Other (Spec	sives \$200	\$100						
							12a.	Total Fee	s \$	
	a State or local license ense/permit but not ye			ر ا	r operations?		-	t numbers;		] No
14. Th	e applicant's business Owned	and/or operations pre	emises are:	Rented		Other (Specify)				
15. Th	e applicant's business A Commercial Buildin	-	ocated in:	lence		Other (Specify)				
16. Ho	ours of operation and/o	or availability of busin	ness activity (Ple	ase provid	le at least one	hour in which yo	u may be co	ontacted by 2	ATF personn	el)
Time	Sunday	Monday	Tuesday	W	/ednesday	Thursday		Friday	Satu	ırday
Open										
conformathat any	e facility/magazine: 18 mity with regulations p y storage facilities/mag	promulgated by the A gazines do not compl	ttorney General. y with federal re	" An appl gulations.	ication for a li	cense/permit can	be denied in	f upon inves	tigation it is	
17. Please indicate the total number and type of explosives storage magazine(s) you have and the Address, including county, State and ZIP code				ve and the addr	Type 1 Permanent	Type 2  Mobile/ Portable	Type 3 Portable	Type 4 Low Explosives	Type 5 Blasting Agents	
									-	

18. If the applicant has no storage facilities, list the na and attach contingency storage agreement letter.		y providing contingency storage location in space below				
19. Does user permit applicant intend to transport exp	· ·	mmerce? (If yes, state where)				
20. Does user permit applicant intend to purchase exp		mmerce? (If yes, state where)				
21. Do you intend to deal in black powder? Do you have a federal firearms license? (If yes, provide the federal firearms license number)  No Yes-Federal Firearms License #						
Certification						
22. Under the penalties imposed by 18 U.S.C. § 844, published state laws and local ordinances relating application is for a Limited Permit, I certify that I period for which my limited permit is valid.	to explosive materials for the location in					
Signature	Title	Date				
For Bureau of Alcohol, Tobacco, Firearms and Exp	losives Use Only					
23. Application is  Approved Disapproved*  Withdrawn* *(Fee will be refunded)	Reason for Disapproval/Termination					
Signature of Licensing Official		Date				

All Applications are to be Submitted to:

Bureau of Alcohol, Tobacco, Firearms and Explosives
ATF National Services Center
Federal Explosives Licensing Center
P.O. Box 6200-18
Portland, OR 97228-6200

#### **Instructions**

- 1. Issuance of your FEL/P under 18 U.S.C., Chapter 40, will be delayed if the fee is omitted or incorrect, or if the application is incomplete or otherwise improperly prepared. This application should be submitted at least 90 days prior to the time the license or permit is required.
- 2. Submit the original of this form (either typewritten or printed legibly) and total fees payable to the Bureau of Alcohol, Tobacco, Firearms and Explosives to the post office box listed above. (Caution: Submission of this application does NOT authorize you to engage in any of the activities covered by the requested license or permit. The license or permit must be received before operations commence.) Include your social security or employer identification number on your check or money order.
- 3. If separate sheets are needed to respond to the questions, they must be identified with your name and address at the top of the page and reference the question number being explained.
- 4. A separate or additional license is not required for storage facilities operated by the applicant as an integral part of ones business premises, or to cover a location used by the applicant solely for maintaining required records.
- Only one user permit per person is required under this part, irrespective of the number of locations relating to explosive materials operated by the holder of the user permit.
- A separate application and license fee are required for each premises at which a person engages in the business of manufacturing, importing, or dealing in explosives materials.
- 7. It is not necessary for a licensed manufacturer or importer to also obtain a dealer's license in order to engage in business as an explosives material dealer, on his or her licensed premises. In the case of a licensed manufacturer, a separate license is not required with respect to onsite manufacturing.
- 8. All responsible persons must complete a Responsible Person Questionnaire—ATF Form 5400.13A/5400.16 Part B, which is to accompany this application. Issuance of your license or permit will be delayed or denied if Part B is incomplete or missing.
- All Employee Possessors muct complete a Employee Possessor Questionnaire, ATF Form 5400.28 which is to accompany this application.
- 10. For item 18 A "contingency storage agreement" is required for explosive materials that cannot be used within the same day of receipt. For example, a sufficient "contingency storage agreement" would include a letter from an explosives licensee or permittee having approved storage facilities available for use by the applicant as needed.

- 11. The certification in item 22 must be signed by someone who will be a Responsible Person of the business, such as the owner, a partner, or in the case of a corporation, association, etc., by an officer duly authorized to sign for the applicant.
- 12. If you have any questions relating to this application, please contact the ATF National Services Center, Federal Explosives Licensing Center, 244 Needy Road, Martinsburg, WV 25405, (877) 283-3352, or review information online at www.atf.gov.
- 13. If the application is approved, you will receive an FEL/P issued by the Federal Explosives Licensing Center. If the application is denied, you will be advised in writing of the reason(s) for the denial. If the application is abandoned, denied, or withdrawn, your application fee will be refunded. However, a social security number or employer identification number is required to process a refund request.

#### **Definitions**

(for additional definitions, please see 27 C.F.R. § 555.11)

- Alien. An alien means any person who is not a citizen or national of the United States.
- 2. Responsible Person. An individual who has the power to direct the management and policies of the applicant pertinent to explosive materials. For example, responsible persons generally include sole proprietors and explosives facility site managers. In the case of a corporation, association, or similar organization, responsible persons generally include corporate directors and officers, as well as stockholders who have managerial powers and enforce policies.
- Employee Possessor. An employee possessor is an individual who has actual or constructive possession of explosive materials during the course of his employment.

**Actual possession** exists when a person is in immediate possession or control of explosive materials (e.g., an employee who physically handles explosive materials as part of the production process; an employee who handles explosive materials in order to ship, transport, or sell them; or an employee, such as a blaster, who actually uses explosive materials).

Constructive possession exists when an employee lacks direct physical control over explosive materials, but knowingly has the power and intention to exercise dominion and control over the explosive materials, either directly or indirectly through others (e.g., an employee at construction site who keeps keys for magazines in which explosive materials are stored or who directs the use of explosive materials by other employees).

- 4. A User Permit. A User Permit authorizes the holder, on a continuing basis, to acquire for his own use and not for resale, explosive materials from an explosives licensee in interstate or foreign commerce, and to transport explosive materials so acquired in interstate or foreign commerce. A User Permit is issued for three years and is renewable.
- 5. Limited Permit. A limited permit authorizes the holder to receive for his use explosive materials from a licensee or permittee in his State of residence on no more than six occasions during the 12-month period in which the permit is valid. A limited permit does not authorize the receipt or transportation of explosive materials in interstate or foreign commerce.
- Manufacturer. Any person engaged in the business of manufacturing explosive materials for purposes of sale or distribution or for his own use.
- Importer. Any person engaged in the business of importing or bringing explosive materials into the United States for purposes of sale or distribution.
- 8. **Dealer.** Any person engaged in the business of distributing explosive materials at wholesale or retail.
- 9. **High Explosives.** Explosive materials that can be detonate by means of a blasting cap when unconfined *(for example, dynamite)*.
- 10. **Low Explosives.** Explosive materials that can be deflagrate when confined *(for example, black powder)*.
- 11. **Blasting Agents.** Any material or mixture, consisting of fuel and oxidizer, intended for blasting, not otherwise defined as an explosive: provided that the finished product, as mixed for use or shipment, cannot be detonated by means of a numbered eight test blasting cap when unconfined (for example, ammonium nitrate fuel oil).
- **Note:** There are now five broad categories of explosives licenses and permits that will determine the type of business each licensee or permittee is engage in: Manufacturer of Explosives, Dealer of Explosives, Importer of Explosives, User of Explosives, and Limited Permit (*Intrastate Only*).

# **Exceptions**

- 1. A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, is not prohibited from shipping, transporting, possessing, or receiving explosives if: (1) the conviction was properly invalidated by a court on the basis that the conviction was unconstitutional; (2) in the case of a Federal conviction, the person received a Presidential pardon; or (3) ATF granted relief from Federal explosives disabilities under 18 U.S.C. § 845(b).
- For purposes of this form, aliens can be responsible persons if they are lawful permanent residents, lawful non-immigrants, or refugees/asylees.
   U.S.C. §§ 842(d)(7) and 842(i)(5).

#### **Privacy Act Information**

The following information is provided pursuant to Sections 3 and 7(b) of the Privacy Act of 1974 (5 U.S.C.  $\S$  552a(e)(3));

- 1. **Authority.** Solicitation of this information is made pursuant to 18 U.S.C. § 843(a). Disclosure of this information by the applicant is mandatory if the applicant wishes to obtain a Federal explosives license or permit.
- Purpose. To identify the applicant; to identify the location of the
  premises; to determine the eligibility of the applicant to obtain such
  license or permit; to determine the ownership of the business or
  operations; and to identify responsible persons in the business or
  operations.
- 3. Routine uses. The information will be used by ATF to make a determination as set forth in paragraph 2. In addition, information may be directly disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the regulation of explosives unless such disclosure is prohibited by law. Finally, the information may be disclosed to members of the public in order to verify the information on the application where such disclosure is not prohibited by law.
- Effects not supplying the requested information. Failure to supply complete information will delay processing and may cause denial of the application.
- 5. **Disclosure of Social Security Number** (SSN). Disclosure of the individual's SSN is voluntary. Under 18 U.S.C. §§ 842(f) and 843; and Executive Order 9397, November 22, 1943, ATF has the authority to solicit an individual's SSN. The number may be used to verify the individual's identity.

### **Paperwork Reduction Act Notice**

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used to determine the eligibility of the applicant to engage in certain operations, to determine the location and extent of operations, and to determine whether the operations will be in conformity with Federal laws and regulations. The information requested is required to obtain or retain a benefit and is mandatory by statute (18 U.S.C. § 843).

The estimated average burden associated with this collection is 1 hour and 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Resource Management Staff, Contract and Forms Section, Bureau of Alcohol, Tobacco, Firearms, and Explosives, 99 New York Avenue, NE, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management Budget control number.