

# FAIR Trade Group Firearms and Ammunition Import and Export Conference

## DOD Contractor Inspection Process

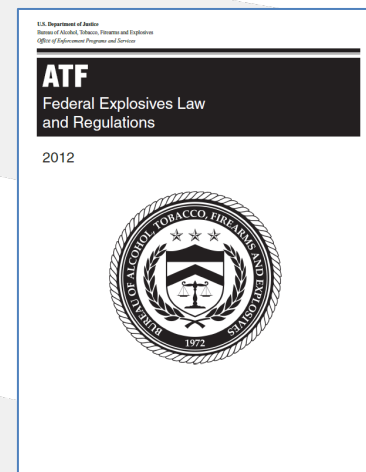
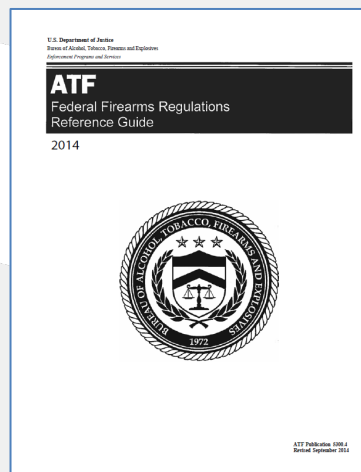


**U.S. Department of Justice**

Bureau of Alcohol, Tobacco, Firearms and Explosives

# ATF Regulatory Authority

- 27 CFR Part 478 – Commerce in Firearms and Ammunition
- 27 CFR Part 479 – Machine Guns, Destructive Devices, and Certain Other Firearms
- 27 CFR Part 555 – Commerce in Explosives



# Pre-Inspection

- Generally, provide 45-day advanced notice
- Contact regional DCMA – Current Contracts
- Determine products being manufactured
- Are they the prime contractor or subcontractor
- Do they use FTZ or CBW

# Pre-Inspection Meeting

- Held telephonically
- Active Government vs Commercial Activity
- What products are on site?
- Current Marking Standards (ATF or DOD?)
- Employees who will be assisting
- How are records kept? Paper vs Computerized
- Security Protocols for entering facility

# Pre-Inspection Activity

- Obtain Marking Variances
- Obtain List of Registered NFA Weapons
- Determine Import Activity

OMB No. 1140-0012 (10/31/2026)

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives

### Notice of Firearms Manufactured or Imported

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Prepare in Duplicate. Submit to: The National Firearms Act Division, 244 Needy Road, Martinsburg, WV 25405. **The undersigned hereby serves notice of the manufacture, reactivation, or importation of firearms as required by § 5841 of the National Firearms Act, Title 26, U.S.C. Chapter 53.**

1. Type of Notice

a. Firearms on This Notice Are: (Check one)    Manufactured     Reactivated     Imported (complete b & c)     b. Import Permit Number \_\_\_\_\_    c. Permit Expiration Date \_\_\_\_\_

2. Name and Address (Include trade name, if any)

3. Federal Firearms License Number \_\_\_\_\_    4. Employer Identification Number and Class \_\_\_\_\_

5. Federal Explosives License/Permit Number \_\_\_\_\_    6. Telephone Number (Include area code) \_\_\_\_\_

OMB No. 1140-0005 (02/28/2026)

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives

### Application and Permit for Importation of Firearms, Ammunition and Defense Articles

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**Not for use by Members of the United States Armed Forces.**

**For ATF Use Only**

Permit No. (Valid for 24 months from the date appearing in Item 19 below.) NPR No. \_\_\_\_\_

**For Applicant's Optional Use**

Internal Control/Reference # \_\_\_\_\_    E-mail Address (Optional) \_\_\_\_\_

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**Section I - Application (Submit in triplicate) - For Applicant Use**

1a. Federal Firearms License (If Any)		1b. Federal Explosives License (If Any)	
License No. (X-XX-XXXX-XX-XX-XXXX)	Expiration Date	License No. (X-XX-XXXX-XX-XX-XXXX)	Expiration Date

# Inspection Activity

- Opening Meeting
- Obtain Current Plat Plan
- Tour Facility
  - Storage
  - Manufacturing/Assembly
  - Shipping and Receiving
- Identify Exempt Product



# Inspection Activity

- Request Mandatory Records
  - Acquisition and Disposition Records
  - Manufacturing/Import Records
  - Daily Summary of Magazine Transaction
  - Magazine Description
  - Import (ATF F 6)
  - Export (ATF F 9 including DSP 5)
  - Special Occupational Tax Exemptions
  - NFA Forms

# Inspection Activity

- Request Supplemental Records
  - Contracts
    - First Page
    - Statement of Work
    - Parameters and Conditions
    - CLINS (Contract Line Items)
  - DOD Magazine Information
  - Safety Data Cards





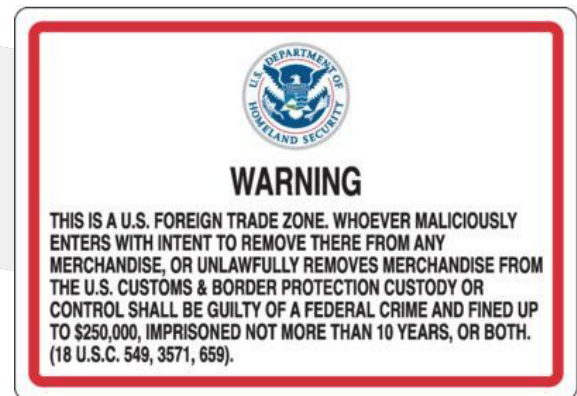
# Inspection Activity

- Request Supplemental Records
  - ITAR.DDTC Registration
  - Purchase Orders/Invoices
  - Shipping Documents
  - DD250, Government Property Transfer Forms
  - DD1348, Issue Release Document
  - DD1149, Requisition and Invoice Shipping Document



# Inspection Activity

- Operations Profile
  - Process/Manufacturing
    - U.S. Government Furnished Materials
    - Customer/Contractor Furnished Materials
  - Finished Goods
    - U.S. Government
    - Foreign Military Sales
    - Direct Commercial
  - Foreign Trade Zone



# Inspection Activity

- Inventory/Records/Storage
  - NFA Exemptions
    - 27 CFR 479.33
    - Exempts firearms and ammunition manufactured on behalf of the U.S.
    - Does not exempt marking or record keeping

# Inspection Activity

- Inventory/Records/Storage
  - Explosive Exemptions
    - 27 CFR 555.141
    - Exempts explosives purchased or manufactured under a current U.S. government contract.
    - Does not exempt residuals or rejects unless owned by the U.S.

# Inspection Activity

- Inventory/Records/Storage
  - GCA Exemptions
    - 27 CFR 478.115 (Importation)
    - 27 CFR 478.141 (General)
    - Under the Gun Control Act, the transportation, shipment, receipt, possession, or importation of firearms or ammunition for use by the United States or a political subdivision are exempt. **Manufacturing of firearms on behalf the U.S. Government is not an exempt activity.**



# Inspection Activity

- Inventory/Records/Storage
  - Acquisition and Disposition Records
  - Products Manufactured as Explosives but become Firearms
  - Storage Magazines
  - Full Inventory
  - Product Markings

# Inspection Activity

- Theft/Loss Report
- Report of Violations

OMB No. 1140-0039 (09/30/2021)

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives

**Federal Firearms License Firearms Inventory/  
Firearms in Transit Theft/Loss Report**

*All entries must be in ink. Please read all notices and instructions carefully before completing this form.*

**Section A - Federal Firearms Licensee Theft/Loss Information**

Federal Firearms License Number \_\_\_\_\_ Federal Firearms License Telephone Number (include area code) \_\_\_\_\_

Trade/Corporate/Transferor/Sender Name \_\_\_\_\_

Street Address of Federal Firearms Licensee \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Federal Firearms Licensee Email Address \_\_\_\_\_

Full Name and Position of Person Making Report \_\_\_\_\_

Date	Time	Description of Incident
Date Theft/Loss Discovered		<input type="checkbox"/> Burglary <input type="checkbox"/> Robbery <input type="checkbox"/> In Transit <input type="checkbox"/> Larceny <input type="checkbox"/> Missing Inventory
Police Notification		ATF Issued Incident Number _____
ATF Notification		

Name/FFL#	Address	Telephone Number
Buyer/Transferee		
Carrier		
Shipment Tracking Number	Shipper/Carrier Claim Number	Date Shipped

Shipment Description  
 Individual Parcel     Pallet     Shrink Wrapped Pallet     Other (Describe): \_\_\_\_\_

Name of Local Authority to Whom Reported (for burglary, larceny or robbery, include the police report number and officer/detective name) \_\_\_\_\_

Street Address of Local Authority \_\_\_\_\_ Theft Location if Different from FFL Premises \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Name and Telephone Number of the ATF Representative Notified (if this report is the result of an ATF compliance inspection, provide the name and telephone number of the ATF Inspector) \_\_\_\_\_

Brief Description of Incident (e.g., how firearms were stolen) \_\_\_\_\_

ATF Form 310a.11  
Revised October 2020